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PTO/SB/05 (11-02)

Approved for use through 10/31/2002, OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. MI22-1951

First Inventor Cem Basceri

Title Capacitor Constructions Comprising

Express Mail Label No. **EV026158045**

<b>APPLICATION ELEMENTS</b>  See MPEP chapter 600 concerning utility patent application contents.		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 28]  (preferred arrangement set forth below) INCLUDING COVER PG</p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets 2 ]</p> <p>5. Oath or Declaration [ Total Pages 2 ]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)</p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>	
<b>ACCOMPANYING APPLICATION PARTS</b>			
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) <input type="checkbox"/> Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent</p> <p>17. <input checked="" type="checkbox"/> Other: <input type="checkbox"/> Check for Fees.....</p>			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. 09/ 932,003

Prior application information:

Examiner Y.B. Huynh

Group Art Unit: 2813

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

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Name	Wells, St. John			
Address				
City			State	Zip Code
Country			Telephone	Fax
Name (Print/Type)	David G. Latwesen, Ph.D.		Registration No. (Attorney/Agent) 38,533	
Signature			Date March 1, 2002	

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# **FEE TRANSMITTAL**

## **for FY 2000**

*Patent fees are subject to annual revision.*

*Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.*

TOTAL AMOUNT OF PAYMENT (\$)740.00

Complete if Known	
Application Number	PRIORITY: 09/932,003
Filing Date	PRIORITY: 8/17/01
First Named Inventor	Cem Basceri
Examiner Name	PRIORITY: Y.B. Huynh
Group / Art Unit	PRIORITY: 2813
Attorney Docket No.	MI22-1951

METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)																																																																																																																																																																																																																									
<p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <span style="border: 1px solid black; padding: 2px;">23-0925</span></p> <p>Deposit Account Name <span style="border: 1px solid black; padding: 2px;">Wells, St. John</span></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p>					<p><b>3. ADDITIONAL FEES</b></p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th colspan="2">Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td colspan="2">Surcharge - late filing fee or oath</td><td>0.00</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td colspan="2">Surcharge - late provisional filing fee or cover sheet.</td><td>0.00</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td colspan="2">Non-English specification</td><td>0.00</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td colspan="2">For filing a request for reexamination</td><td>0.00</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td colspan="2">Requesting publication of SIR prior to Examiner action</td><td>0.00</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td colspan="2">Requesting publication of SIR after Examiner action</td><td>0.00</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td colspan="2">Extension for reply within first month</td><td>0.00</td></tr> <tr><td>116</td><td>380</td><td>216</td><td>190</td><td colspan="2">Extension for reply within second month</td><td>0.00</td></tr> <tr><td>117</td><td>870</td><td>217</td><td>435</td><td colspan="2">Extension for reply within third month</td><td>0.00</td></tr> <tr><td>118</td><td>1,360</td><td>218</td><td>680</td><td colspan="2">Extension for reply within fourth month</td><td>0.00</td></tr> <tr><td>128</td><td>1,850</td><td>228</td><td>925</td><td colspan="2">Extension for reply within fifth month</td><td>0.00</td></tr> <tr><td>119</td><td>300</td><td>219</td><td>150</td><td colspan="2">Notice of Appeal</td><td>0.00</td></tr> <tr><td>120</td><td>300</td><td>220</td><td>150</td><td colspan="2">Filing a brief in support of an appeal</td><td>0.00</td></tr> <tr><td>121</td><td>260</td><td>221</td><td>130</td><td colspan="2">Request for oral hearing</td><td>0.00</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td colspan="2">Petition to institute a public use proceeding</td><td>0.00</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td colspan="2">Petition to revive - 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late filing fee or oath		0.00	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		0.00	139	130	139	130	Non-English specification		0.00	147	2,520	147	2,520	For filing a request for reexamination		0.00	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		0.00	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		0.00	115	110	215	55	Extension for reply within first month		0.00	116	380	216	190	Extension for reply within second month		0.00	117	870	217	435	Extension for reply within third month		0.00	118	1,360	218	680	Extension for reply within fourth month		0.00	128	1,850	228	925	Extension for reply within fifth month		0.00	119	300	219	150	Notice of Appeal		0.00	120	300	220	150	Filing a brief in support of an appeal		0.00	121	260	221	130	Request for oral hearing		0.00	138	1,510	138	1,510	Petition to institute a public use proceeding		0.00	140	110	240	55	Petition to revive - unavoidable		0.00	141	1,210	241	605	Petition to revive - unintentional		0.00	142	1,210	242	605	Utility issue fee (or reissue)		0.00	143	430	243	215	Design issue fee		0.00	144	580	244	290	Plant issue fee		0.00	122	130	122	130	Petitions to the Commissioner		0.00	123	50	123	50	Petitions related to provisional applications		0.00	126	240	126	240	Submission of Information Disclosure Stmt		0.00	581	40	581	40	Recording each patent assignment per property (times number of properties)		0.00	146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))		0.00	149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))		0.00	Other fee (specify) _____							0.00	Other fee (specify) _____							0.00	<b>SUBTOTAL (2) (\$ 0.00)</b>					<b>SUBTOTAL (3) (\$ 0.00)</b>		
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SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	David G. Latwesen, Ph.D.	Registration No. (Attorney/Agent)	38,533	Telephone
Signature			Date	MARCH 1, 2002

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